

(HUANJING KEXUE)

# ENVIRONMENTAL SCIENCE

第39卷 第2期

Vol.39 No.2

2018

中国科学院生态环境研究中心 主办

斜 学 出 版 社 出版



## ENVIRONMENTAL SCIENCE

第39卷 第2期 2018年2月15日

## 目 次

ド リング ロー・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	
序	)
特别策划:挥发性有机污染物(VOCs)排放特征、减排控制、环境政策研究专辑(Ⅲ) 序····································	
江苏省人为源 VOCs 排放清单及其对臭氧生成贡献	)
长江三角洲 2014 年大然源 BVOCs 排放、组成及时空分布	) ) )
城市自来水管网中挥发性有机物的空间分布特征   陈峰,唐访良,徐建芬,王奕奕,阮东德,张伟,周姗(648 城市自来水管网中挥发性有机物的空间分布特征   许美佳,王海亮,李春梅,徐雄,王东红(655 不同通勤模式暴露于 VOCs 的健康风险评价   作業佳,王海亮,李春梅,徐雄,王东红(655 家具制造过程中 VOCs 的来源分析及环境健康风险评价 …	)
北京市 $PM_{2.5}$ 时空分布特征及其与 $PM_{10}$ 关系的时空变异特征	)
研究报告 北京市 PM, 5时空分布特征及其与 PM, 6关系的时空变异特征 小型池塘水-气界面 CH, 冒泡通量的观测  一大流域全氟烷基酸污染水平与饮水暴露风险 中国七大流域全氟烷基酸污染水平与饮水暴露风险 一大流域全氟烷基酸污染水平与饮水暴露风险 一大流域全氟烷基酸污染水平,一大流域。一大流域、一大流域、一大流域、一大流域、一大流域、一大流域、一大流域、一大流域、	) ) ) )
河道型水库支流库湾营养盐动态补给过程 徐雅倩,徐飘,杨正健,刘德富,马骏(765)。 徐雅倩,徐飘,杨正健,刘德富,马骏(765)。	)
城市河流沉水植物与大型底栖动物群落的关系 ····································	)
硫和石英砂比对自养填充床反应器去除高浓度高氯酸盐的影响。 陶华强,邵冬海,张超,宋圆圆,逯彩彩,郭建博(811活性炭/高分子复合水凝胶对水中亚甲基蓝和Cu(II)的去除性能	)
	)

## 基于三维荧光及平行因子分析的川西高原河流水体 CDOM 特征

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摘要:川西高原草甸和高寒湿地是青藏高原重要土壤碳库之一,高原河流水体溶解性有机碳特征及其与土壤碳库关系研究,对于理解高寒区域土壤碳输出通量及强度具有重要意义。本文对川西高原高山峡谷区河流(岷江上游、杂谷脑河、抚边河)和高原夷平面河流(白河)水体有色溶解性有机质(CDOM)采用三维荧光及平行因子法(EEM-PARAFAC)进行了分析。结果表明:①高原河流中 CDOM 主要有 3 种组分,即  $C_1(260/480,\, UVC\, 类腐殖质)$ 、 $C_2[310/420(570),\, UVA\, 类腐殖质]$ 和  $C_3(280/370,\, 类色氨酸)$ ;②河流沿程变化特征显示,高山峡谷区河流总荧光强度值较低,变化范围窄,高原夷平面河流(白河)与其相反;同时白河类腐殖质( $C_1$ 、 $C_2$ )荧光强度远高于其他 3 条河流,表明白河中源于沿岸草甸和湿地的陆源腐殖质高,而其余高山峡谷区 3 条河流陆源腐殖质输入相对低;③水体荧光特征参数(荧光指数 FI、自生源指数 BIX、腐殖化系数  $HIX_b$ 、新鲜度指数  $\beta$ : $\alpha$ )表明,高山峡谷区河流 CDOM 来源具有外源兼内源双重特征,腐殖化程度低;高原夷平面河流 CDOM 腐殖化程度相对较高且降解程度低;④相关性分析发现 4 条河流中  $C_1$ 、 $C_2$  极显著正相关,且白河  $C_1$ 、 $C_2$ 、 $C_3$  量极显著正相关;所有河流  $\beta$ : $\alpha$  与 BIX 呈极显著正相关,溶解性有机碳(DOC)与 355 nm 处吸收系数[a(355)]相关性不显著。

**关键词:**三维荧光及平行因子法(EEM-PARAFAC); 有色溶解性有机质; 天然水体; 川西高原河流中图分类号: X144 文献标识码: A 文章编号: 0250-3301(2018)02-0720-09 **DOI**: 10.13227/j. hjkx. 201708208

# Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PARAFAC Analysis

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(1. Sichuan Research Center for Soil Environment Protection Engineering and Technology, Department of Environmental Science and Engineering, Sichuan University, Chengdu 610065, China; 2. Department of Environmental Engineering, Chengdu University, Chengdu 610106, China; 3. Institute of Mountain Hazard and Environment, Chinese Academy of Sciences, Chengdu 610041, China) Abstract: Alpine meadows and wetlands of western Sichuan plateau are essential organic carbon pools for Tibetan plateau; thus, a thorough understanding of the characteristics of dissolved organic carbon (DOC) and its association with soil carbon storage pool helps to reveal the flux and intensity of DOC export in the area. Surface water samples were collected from three rivers (the upper reaches of Min River, Zagunao River, and Fubian River) in the alpine-gorge region and Bai River in the plateau planation surface distributed among the watersheds in western Sichuan plateau, Southwest China. UV absorbance and EEM fluorescence spectroscopy with parallel factor analysis (PARAFAC) was used to characterize chromophoric dissolved organic matter (CDOM). PARAFAC produced a threecomponent model; C<sub>1</sub> (260/480) and C<sub>2</sub> (310/420) represented terrestrial humic-like fluorophores, and C<sub>2</sub> (280/370) belonged to tyrosine-like substances. The total fluorescence intensity of CDOM in the alpine-gorge region showed fewer changes along the rivers and was lower than that of the Bai River in the hilly plateau. The Bai River had much higher concentrations of humic-like substances (C1, C2) compared to the other three rivers, indicating its terrestrial sources with high humification degree originated from meadows and watersheds along the river. The calculated fluorescence indices (FI, BIX, HIX,  $\beta$ : $\alpha$ ) showed that CDOM in the alpine-gorge region was a mixture with both autochthonous and allochthonous origins with low humification degree, while CDOM in the plateau planation surface had a higher degree of humification and lower extent of degradation. Statistical analysis showed that the C1 and C2 components in four rivers were significantly positively correlated, and C1, C2 and C3 components in Bai River were significantly positively correlated.  $\beta$ :  $\alpha$  and BIX were significantly positively correlated in four rivers, but there was no significant correlation between DOC and CDOM [a(355)].

Key words: EEM-PARAFAC; chromophoric dissolved organic matter (CDOM); natural waters; rivers in the western Sichuan plateau

溶解性有机质(dissolved organic matter, DOM) 是指用 0.45 μm 滤膜过滤后的水体或土壤溶液中可 溶态的有机质<sup>[1]</sup>, 尽管 DOM 仅占地球表面碳库极 小部分, 因其无处不在且活性高、成分复杂, 联系

收稿日期: 2017-08-24;修订日期: 2017-10-20

基金项目: 国家自然科学基金项目(41271094)

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着陆地生态系统和水生生态系统(河流、库泊、湿 地、海洋等)之间的碳转移,从而在全球碳生物地 化循环中扮演着极其重要角色[2,3]. 很多研究显示 在过去几十年北半球的河流和湖泊水体中 DOM 浓 度明显升高[4~6]. 如长期研究显示由于高海拔湿地 等土壤有机碳稳定性降低,英国高地河流中 DOM 浓度 10 余年间增加了 65% (平均每年增加 5.4%)[7]; 北方泥炭地(储存了约全球 20% 土壤 碳)亦是如此,进入水体输入海洋的 DOM 通量显著 增加<sup>[8]</sup>. 尽管水体中 DOM 升高的原因还有很大争 论[9], 但如果这种变化趋势在自然水体中是确定 的,不但对于全球碳循环具有重要影响,而且对生 活饮用水源水质、痕量元素和有机微量污染物含量 及其对水生生态系统食物链的生态毒理等生态系统 结构与功能产生重要影响[9]. 有色溶解性有机物 (chromophoric dissolved organic matter, CDOM)是溶 解性有机质(DOM)的最重要组分,且CDOM易于 利用紫外-可见光光谱或三维荧光光谱法(EEM)进 行特征分析, 可较为全面地揭示水体 DOM 动态过 程、DOM 组分及其来源,相关研究日益引起重视. 青藏高原作为我国人为干扰和污染较少、土壤碳储 量极高的低温生态系统, 也是我国主要江河发源地 和集水区,该区域自然水体中 CDOM 特征及其变化 趋势分析对在全球变化背景下区域环境效应特征研 究具有重要理论价值,可为西南诸河上游水陆界面 碳交换通量、河流水质变化特征以及水资源管理等 研究提供科学依据.

#### 1 材料与方法

#### 1.1 研究区域概况

本研究选取川西北高原 4 条具有代表性的河流,分别是高原夷平面上白河(黄河在四川重要支流),及分布于高山峡谷区的杂谷脑河、抚边河、岷江上游(均属于长江水系). 其中,白河发源于红原县分水岭,流域地形平缓,植被多为高寒草甸、草原以及灌丛草地,进入若尔盖后因地形更加平缓,坡降低至 0.6%,河流蜿蜒曲折形成河漫滩和沼泽湿地,干流均为土质河床. 杂谷脑河为岷江上游最大支流,在汶川汇入岷江;岷江发源于松潘黄龙附近,本次研究采样溯至岷江正源;抚边河是大渡河二级支流,发源于梦笔山,向南至小金汇入小金川后至丹巴注入大渡河. 抚边河、杂谷脑河与岷江上游这 3 条河流的共同点是均分布在川西高海拔高山峡谷区,坡降极大致水流湍急,为石质或碎石河

床,底泥物质少或无.

#### 1.2 样品采集与处理

本研究于 2016 年 10 月沿杂谷脑河、抚边河、白河、岷江上游从源头开始沿程采样. 杂谷脑河设置 7 个点(编号 Z1~Z7); 抚边河设置 5 个点(编号 F1~F5); 白河设置 7 个点(编号 B1~B7); 岷江源至镇江关段设置 9 个点(编号 M1~M9). 样点分布如图 1 所示. 水样收集于特氟龙采样瓶中. 采样瓶使用前先用肥皂水清洗一遍,再用超纯水清洗 6遍,置于烘箱 60℃ 烘干待用[10]. 采样前用待测水样润洗采样瓶 3 次,每个点采 3 个重复样. 将采样瓶放置于 4℃ 保温箱内避光保存. 在采样完毕后,立即将水样运回实验室分析(结果见表 1). 分析前先将水样平衡至室温(25℃),用 0.45 μm 针孔滤膜(PVDF, Millipore, USA)过滤.

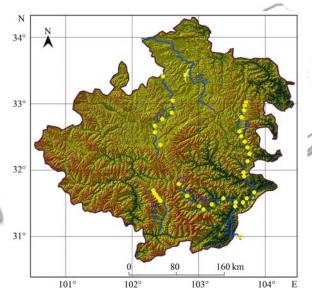


图 1 川西高原河流 CDOM 水样采样点分布示意

Fig. 1 Sampling sites of CDOM in the plateau rivers in western Sichuan, Southwest China

#### 1.3 样品分析方法

所有水样 CDOM 三维荧光光谱及紫外-可见光谱采用 Horiba 公司 Aqualog 荧光光谱仪进行测定.测定条件为:150 W 氙灯为激发光源, PMT 电压设为700 V, 配以 1 cm 石英比色皿; 扫描光谱进行仪器自动校正; 扫描波长范围为激发波长 (excitation wavelength,  $E_{\rm x}$ ) 为 240 ~ 550 nm, 发射波长 (emission wavelength,  $E_{\rm m}$ )为 214 ~ 619 nm; 间隔和狭缝宽度分别为 3.0 nm 和 2.5 nm. 采用 Millipore 超纯水作空白,系统自动处理去除拉曼及瑞利散射. 荧光强度大小以单位 R. U. (Roman Unit)标注. CDOM 光吸收系数  $a(\lambda)$  采用非线性回归方法进行

计算,以 355 nm 处的吸收系数[a(355)]( $m^{-1}$ )表示 CDOM 的相对浓度<sup>[11]</sup>.

所有水样中溶解性有机碳(DOC)、溶解性氮(TDN)浓度采用总有机碳总氮分析仪(Milti N/C 2100S, 德国 Jena),采用 Millipore 超纯水作空白.

#### 1.4 数据处理分析

CDOM 三维荧光数据采用 Matlab 2014a 调用 DomFluor 工具箱进行平行因子分析法分析,将所有

样品的荧光矩阵组合,构成一个新的三维矩阵组进行平行因子处理.整个分析过程包括数据处理(扣除空白、去除瑞利和拉曼散射),去除异常值并利用核一致性结果及激发、发射光谱的误差平方和曲线,初步确定组分数范围,确定组分数并进行裂半分析与有效性检验.利用三维荧光数据识别荧光组分,分别计算荧光指数 FI、自生源指标 BIX、腐殖化指数 HIX<sub>b</sub>、新鲜度指数 β:α 以及 a(355),结果见表 1.采样点分布图用 ArcMap 10.3 绘制.

表 1 川西高原 4条河流沿程采样点水样主要性质

					京水样主要性质		
采样点	TDN/mg·L <sup>-1</sup>	Table 1 Parameters  DOC/mg·L <sup>-1</sup>	s of water sampl FI	les along plate: BIX	au rivers in wesign $\beta:\alpha$	tern Sichuan $a(355)/\text{m}^{-1}$	HIX <sub>b</sub>
B1	0. 72	2. 53	1. 47	1. 15	1. 09	2. 69	0. 82
B2	0. 68	3. 92	1. 52	1. 12	1. 05	5. 05	0.81
В3	1. 17	4. 31	1.41	0.88	0.87	1.91	0.86
B4	0. 72	3. 08	1. 43	0. 95	0. 94	6.88	0.89
В5	1. 43	4. 27	1.46	1.01	0. 97	6.11	0.85
В6	1. 17	3. 79	1. 42	0.83	0.81	6.06	0.87
В7	0.90	3. 70	1. 42	0.93	0. 91	4. 40	0.88
F1	0.71	2. 61	1.51	1. 39	1. 31	1.67	0. 78
F2	0. 84	3. 16	1.57	0. 99	1.00	1.76	0.79
F3	0.88	2.81	1.58	1.78	1. 55	1.66	0.74
F4	0. 89	3. 09	1. 63	1. 12	1. 07	0.91	0.76
F5	0. 78	3. 32	1.58	1.36	1. 25	1.66	0. 79
M1	1.01	4. 78	1.53	1. 20	1.13	1.92	0.80
M2	0. 98	3. 72	1.63	1.30	1. 20	1.34	0.75
М3	1.06	3.99	1.64	1.00	0.97	1.41	0.78
M4	0. 96	5. 66	1.64	1.44	1. 30	1.36	0.74
M5	0. 91	4. 62	1. 46	1. 15	1. 10	2. 35	0. 84
M6 /	0.96	4. 44	1.59	1. 26	1. 18	2. 31	0.77
M7	1. 32	4. 97	1.60	1. 25	1. 19	2. 20	0. 79
M8	1.04	3. 59	1, 55	1.06	1.01	6.42	0.81
М9	1. 51	5. 30	1. 52	1. 33	1. 21	2. 01	0.79
Z1 //	0.90	2. 63	1. 59	1. 33	1. 23	1.36	0.69
Z2	1. 39	6. 18	2. 19	1.31	1. 19	3. 10	0.75
Z3	1. 47	3. 46	1. 85	1.61	1. 42	1.07	0.65
Z4	1. 18	2. 82	1.60	1.56	1. 38	1.38	0.65
Z5	1. 13	2. 93	1.77	1.42	1. 34	1.72	0. 69
Z6	1. 38	3. 62	1.70	1. 17	1.09	2.06	0.68
<b>Z</b> 7	1. 37	3. 31	1. 67	1.44	1. 28	2. 24	0.72

#### 2 结果与讨论

#### 2.1 水体 CDOM 的荧光特征组分

基于 PARAFAC 模型分析所有水样的三维

荧光数据,结果显示这4条高原河流中的CDOM 荧光特征呈现3个荧光组分.这3个荧光团组分及特征见表2,激发和发射波长载荷见图2.

表 2 高原河流水体中 CDOM 荧光团组分特征

Table 2 Fluorescent characteristics of CDOM in plateau rivers in western Sichuan

组分	$E_{\rm x}/E_{\rm m}/{\rm nm}$	类型	同类研究比较/nm
$C_1$	260/480	UVC 类腐殖质	$<250/448^{[12]}; 270(360)/478^{[13]}; 260(370)/490^{[14]}$
$C_2$	310/420(570)	UVA 类腐殖质	$<250(360)/440^{[12]}; 330/390(430)^{[15]}; 315(250)/400^{[16]}$
$C_3$	280/370	类蛋白物质(类色氨酸)	$280(<240)/368^{[13]}; 270\sim280/330\sim368^{[17]}; 270\sim280(<240)/330\sim370^{[18]}$

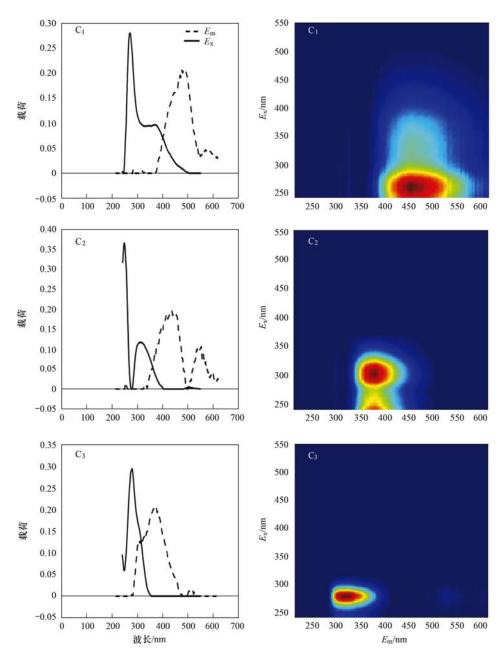


图 2 高原河流水体中 CDOM 的 3 个组分 EEMs 及载荷

Fig. 2 EEMs and loadings of three components of CDOM in four plateau rivers

组分  $C_1(E_x: 260/E_m: 480)$  具有一个激发峰和发射峰,位于传统的 A 峰(230~260 nm/380~460 nm) 区域<sup>[16]</sup>,被认为是以分子量较大的芳香氨基酸腐殖物质的 UVC 类腐殖质,以陆源为主,也有自生源.该类物质在湿地和森林环境中含量最高.

组分  $C_2[E_x: 310/E_m: 420(570)]$  具有一个激发峰和两个发射峰,被认为主要是分子量较高的荧光特征与富里酸类似的 UVA 类腐殖质,位于传统的 M 峰(290~310 nm/370~420 nm) 区域<sup>[19]</sup>. 一般来自陆生植物或土壤有机物.

组分 C<sub>3</sub>(E<sub>x</sub>:280/E<sub>m</sub>:370) 具有一个激发峰和发

射峰,主要体现的是类色氨酸类蛋白物质. 该类物质是荧光特征类似于游离色氨酸,被认为是陆生植物或土壤有机质自生源产生过程产生的蛋白质或较少降解的缩氨酸<sup>[13,17,20]</sup>.

#### 2.2 高原河流水体 CDOM 荧光强度沿程变化

杂谷脑河、抚边河、岷江、白河的荧光强度沿程变化如图 3 所示. 从 CDOM 各组分贡献率分析,对于高山峡谷区河流,杂谷脑河沿程类蛋白类物质贡献率均高于类腐殖质物质,抚边河(点 F3 除外)与岷江沿程 3 个成分贡献率相差不大. 对于白河,沿程 C<sub>1</sub>和 C<sub>2</sub>组分的变化导致总 CDOM 变化,因此陆源输入

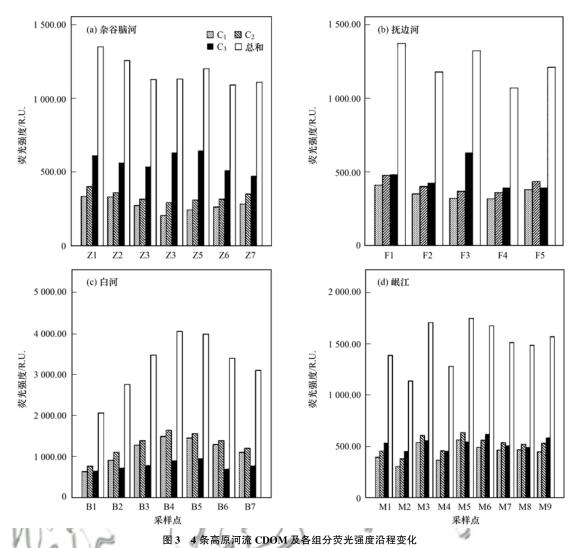


Fig. 3 Fluorescent intensities of components along four plateau rivers in western Sichuan

特征显著, 而 C, 组分在沿程变化幅度很小.

通常,自然河流中类蛋白质荧光组分(C<sub>3</sub>)含量较低,而在受到人为源(如生活源和农业非点源)输入河流中类蛋白荧光组分比例较高.杂谷脑河 C<sub>3</sub>较高,可能与流域农地分布较多而且居民点众多导致农业面源和生活源输入,引起及水体中微生物通过代谢活动释放出大量类蛋白物质有关.抚边河的F3点处类蛋白组分 C<sub>3</sub>贡献率较高,是由于该点是一个村落人口较为集中且耕地分布较多,同样是因为人为源输入增加引起的.有意思的是,岷江上游沿途同样非常多的居民点,但 C<sub>3</sub>贡献率及其变化不大,这可能与岷江沿途接纳较多的雪山融水及岷江干流流速快和径流量大的强烈稀释作用,以及与松潘以下江段海拔降低水体自净作用增强有关.

总荧光强度体现了 CDOM 中每个荧光团综合 贡献, 能较全面反映 CDOM 荧光团浓度<sup>[21]</sup>. 从总 荧光强度值分析, 杂谷脑河、抚边河、岷江、白河

的变化范围分别为1090.88~1349.97、1070.87~ 1 372. 95、 1 136. 82 ~ 1 745. 19 和 2 064. 00 ~ 4 048. 36 R. U.;均值分别为(1 181. 37 ± 66. 90)、 (1231.11 ± 119.71)、(1498.67 ± 203.31)和 (3 260. 15 ±697.00) R. U.. 与高山峡谷区杂谷脑 河、抚边河、岷江相比,高原夷平面的白河总荧光 强度明显较高,即白河 CDOM 含量较高. 究其原 因,可能是因为白河流经富含泥炭和腐殖质的泥炭 沼泽和高寒草甸, 冻融侵蚀及壤中流等携带大量有 色溶解性有机质汇入河流水体,导致河流中接受了 较多的陆源 CDOM;同时白河沿程地势较为平缓, 降水后地表汇流缓慢有利于土壤溶解性有机碳充分 浸出,河流平缓也导致沿岸和底泥中 CDOM 充分进 入水体,而且沿河海拔较高(均在3000 m以上)气 温低,微生物活性低,水中CDOM生物转化过程受 到限制有利于 CDOM 在水体中积累. 在高山峡谷 区,土壤中有机质相比草甸和湿地含量低,无泥炭 层,山体坡降大流速快、降水汇流快而且汇流区面积较小,加上积雪融水等稀释作用强烈,即使有人为输入(岷江上游部分位点)CDOM 也迅速扩散,对河流总 CDOM 影响不大.因此高山峡谷区这3条河流整体总荧光强度也相对较低.

#### 2.3 水体荧光特征参数分析

本文选取了荧光指数 FI、自生源指标 BIX、腐殖化指数 HIX。和新鲜度指数 $\beta$ : $\alpha$  对川西高山峡谷区的杂谷脑河、抚边河、岷江及高原夷平面的白河水体中CDOM 的来源进行分析. 各特征参数的均值见表 3.

表 3 高原河流 CDOM 荧光参数特征

Table 3	Characteristics	of	fluorescent	indices	of	CDOM	in	plateau	rivers	in	western	Sichua	n
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河流	FI	BIX	$\mathrm{HIX}_{\mathrm{b}}$	$\beta$ : $\alpha$	河流类型
杂谷脑河	1.68 ±0.10	1.46 ± 0.17	$0.69 \pm 0.03$	$1.32 \pm 0.13$	高山峡谷区
抚边河	$1.57 \pm 0.04$	$1.33 \pm 0.30$	$0.77 \pm 0.02$	$1.24 \pm 0.22$	高山峡谷区
岷江	$1.58 \pm 0.06$	$1.22 \pm 0.13$	$0.78 \pm 0.03$	$1.15 \pm 0.10$	高山峡谷区
白河	$1.44 \pm 0.04$	$0.98 \pm 0.12$	$0.84 \pm 0.03$	$1.09 \pm 0.10$	高原夷平面

荧光指数(fluorescence Index, FI)是指样品在 370 nm 波长下,  $E_m$  在 470 nm 与 520 nm 波长处荧光强度的比值. 荧光指数反映了芳香与非芳香氨基酸对 CDOM 荧光强度的相对贡献率,作为衡量 CDOM 来源及降解程度的指标<sup>[22-24]</sup>. 同时, FI 与 CDOM 的骨架特征有显著的相关性,尤其是芳香性指数<sup>[21]</sup>,以及分子结构的共轭程度<sup>[25]</sup>. FI 值 > 1.9 表示 CDOM 源于细菌和藻类的胞外释放以及渗出液,具有显著的内源产生特征; FI 值 < 1.4 则体现了源于陆生植物和土壤有机质等外源输入为主的特征. 由此看来,高山峡谷区 3 条河流 CDOM 兼有内外源特征,其中杂谷脑河内源特征较强,而岷江上游和抚边河外源性特征较强; 白河 CDOM 则具有极强的外源性特征.

自生源指标(index of recent autochthonous contribution, BIX)为 $E_x=310$  nm、 $E_m$ 在 380 nm 与 430 nm 处荧光强度比值<sup>[26]</sup>. 有研究认为 BIX 在 0.8~1.0之间体现新生自生源 CDOM 较多,0.6~0.8之间表示自生源贡献较少<sup>[27]</sup>,这个参数反映了新产生的 CDOM 在整体 CDOM 中所占的比例,自生源指数越高表明 CDOM 降解程度增加、内源碳产物越容易生成<sup>[28]</sup>. 高山峡谷区 3条河流 BIX 值均大于1.0,其自生源组分特征明显,而高原夷平面的白河有较强新近自生源组分.

腐殖化指数(humification index, HIX)是评价 CDOM 腐殖化程度的重要指标,能一定程度上反映 CDOM 输入源特征 [29]. 采用归一化 HIX(HIX<sub>b</sub>)以消除内滤效应干扰, HIX<sub>b</sub>是 254 nm 激发波长下  $E_{\rm m}$ 在 435 ~ 480 nm 荧光强度积分值除以 300 ~ 345 nm 与 435 ~ 480 nm 间荧光强度积分值之和, HIX<sub>b</sub>高表明 CDOM 腐殖化程度高 [30]. 总体来看,白河 CDOM 腐殖化程度均高于其他 3 条河流,显示白河

具有更显著的外源腐殖质输入特征.

新鲜度指数( $\beta$ : $\alpha$ )为310 nm 激发波长下,380 nm 发射波长处荧光强度与420~435 nm 区间最大 荧光强度的比值( $E_x$ =310 nm, $E_m$ 380/ $E_{mmax}$ 420~435 nm),反映新产生 CDOM 在总 CDOM 中的比例.其中, $\beta$ 代表新近产生 CDOM, $\alpha$ 代表降解程度 较高的 CDOM [28],可为定量评估水体生物活性提供 依据.4条河流水体中 CDOM 按新鲜度指数排序,最高和最低分别是杂谷脑河、白河,抚边河、岷江介于两者之间,这表明高山峡谷区的河流新鲜度均高于高原夷平面的河流.

综上所述,高山峡谷区河流 CDOM 来源既有外源输入也有内源产生,都具有较强新近自生源组分;高原夷平面河流的外源特征更明显,自生源特征相对较弱; FI、BIX 和 β:α 值按照杂谷脑河、抚边河、岷江、白河的顺序递减,而 HIX。趋势则相反. 这些指标均表明,高山峡谷区的河流内源和自生源特征更加明显,而高原夷平面河流则腐殖化和外源输入特征显著(图 4). 总体上,与 Ohno 等<sup>[30]</sup> (FI 值与 DOM 芳香性成负相关关系,FI 越高芳香性越弱,腐殖化程度越低)、Huguet<sup>[31]</sup> (以陆源输入为主的水体 CDOM 腐殖化程度较高)、以及Williams<sup>[32]</sup> (在人为活动影响的土地类型中 FI 普遍较高,自生源 BIX 程度高,与β:α 成正比,而湿地中类腐殖质较多)的研究结果是一致的.

#### 2.4 CDOM 荧光特征与溶解性碳氮组分的相关性

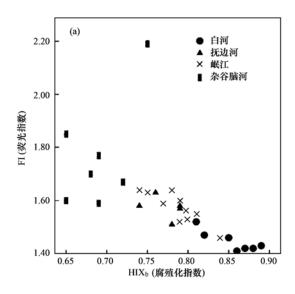
荧光组分、荧光参数(FI、BIX、 $\beta$ : $\alpha$ 、HIX $_b$ )、水体碳氮特征(DOC、DIC、TDN)及a(355)进行相关性分析结果表明(表 4),4条河流中类腐殖质组分之间( $C_1$ 、 $C_2$ )相关达到显著水平,显示了高原河流水体中 CDOM 的陆源共性特征. 白河中类腐殖质组分( $C_1$ 、 $C_2$ )与类蛋白组分( $C_3$ )间极显著相关性,

表 4 川西高原典型河流的荧光组分、荧光参数与 TDN、DOC、DIC、a(355)相关性分析结果1)

	l _	, ,	~	0	15	63	_	_	_	~	~		28	22	80	)3 **	01	54	59	84	00	16
	a(355)	0.266	-0.108	-0.340	-0.205	-0.522	0.280	0.231	-0.061	- 0. 093	0.013	a(355)	-0.168	0.022	0.080	-0.703 **	-0.010	-0.154	0.429	** 0.384	** 0.400	0.349
	C <sup>3</sup>	0.412	-0.307	- 0. 040	0.032	-0.309	0.403	0.144	-0.071	0.228		C <sub>3</sub>	-0.139	0.363	0.409	-0.087	-0.039	-0.122	0.160	* 0.591 **	0.641 **	
	$C_2$	0. 181	-0.378	-0.165	-0.454	-0.446	0.134	0.305	0.899 ** - 0.071			$C_2$	- 0. 263	0.432	0.364	- 0.061	- 0. 221	- 0. 292	0.404	0.990 **		
	C <sub>1</sub>	0.294		- 0. 144	-0.382		0.249	- 0. 002				$C_1$	-0.324	0.464*	0.365	- 0. 059	-0.285	-0.359	0.468 *			
	HIX <sub>b</sub>	-0.435	-0.784 **-0.073	-0.213	- 0.324	-0. 698 **-0. 240	-0.455	·				$HIX_b$	-0.560 **	0.095	0.082	-0.220	-0.651 **	- 0. 598 **				
three fluorescent components, three fluorescent indices, and TDN, DOC, DIC, a(355)	BIX	0. 988 **	. 234	. 242	. 287	-0.093						BIX	0. 993 ** -	-0. 446 *	-0.400	- 0.100	0.695 *** -	'				
oc, DIC	FI	- 0.097 0	0.638 * 0.234	0.548 * 0.242	0.258 0.287	0-						FI	0. 649 ** 0			- 0. 103 - 0	0					
I TDN, D	DIC	0. 226	0.271	- 0. 183								DIC	-0.104 0.	0. 122 - 0. 316	0. 297 - 0. 182	- 0		0				
lices, and	DOC	- 0. 298 0	0.339 0	0 -						ı		D0C	-0.427 -	0.649 **		6	3	1	/			1
escent inc	TDN I	0. 205 – (				1	ì	(	1	_		TDN I	-0.455 * -(		/	5	/	1				
ree fluor	先达河 T	$\beta$ : $\alpha$ 0.	TDN	D0C	DIC	E				22	3	白河 T	$\beta$ : $\alpha$ -0.	NOL	200	DIC		BIX:	HIX	ر <sub>ا</sub> ا	$C_2$	C <sub>3</sub>
nents , t	4 6				- (	792		ef	* 74		52			D. 059	_	4	U	4	0. 303	0.235	0.170	
odmoo t	a(355)	** -0.060	0.084	0.255	* -0.317	-0.326	** -0.004	0.110	0.547	0.665	0.352	a(355)	7 * -0.248		-0.524 ** -0.136	5 * -0.440	1 -0.219	1 -0.254	V	1		- 0. 048
uorescer	ပ်	0.617 **	0.121	0.229	- 0. 456 *	0.117	0.653 ***	-0.601	0.877 ** 0.059	0.360		C <sub>3</sub>	- 0.417 *	-0.531 **	-0.52	- 0. 405 *	-0.291	-0.371	0.199	0.370	0.505 *	
	$C_2$	0.030	0.058	0.060	0.059	-0.173	0.057	0.265	0.877			$C_2$	0.008	-0.341	-0.359	-0.284	-0.353	-0.005	0.429 *	0.963 **		
Correlations among	C <sub>1</sub>	-0.215	0.285	0.091	0. 198	-0.013	-0.215	0.416				$C_1$	0.015	- 0. 286	-0.378	-0.326	-0.371	-0.011	0.483 *			
Correlati	HIX <sub>b</sub>	-0.183	-0.142	- 0. 244	0.452	- 0. 229	-0.211					$HIX_b$	-0.344	-0.287	-0.258	-0.335	-0.435*-0.371	-0.424*-0.011				
Table 4	BIX	0. 981 **	). 240	0.029	). 058	0.036						BIX	0. 986 **	0.099	0.268	0.327	0.304					
	FI	0.111 (	0. 480 * - 0. 240		-0.139 -0.058	Ü						FI	0. 299 (	0.155 (	0.180	0.051 (	C					
	DIC	- 0. 013		-0.479 * 0.378	1							DIC	0.333	0. 649 **	0. 832 **							
	D0C	- 0. 249 -	0.537 * -0.219	'								DOC	0. 284 (	0. 784 ** (	_							
	TDN	- 0.53 -										TDN	0.113 0	)								
	杂谷面河	β:α -	TDN	D0C	DIC	FI	BIX	$HIX_b$	$C_1$	$C_2$	$C_3$	馬江	$\beta$ : $\alpha$ 0	TDN	D0C	DIC	FI	BIX	$HIX_b$	$C_1$	$C_2$	C <sub>3</sub>

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1) \*表示在 0.01 水平(双侧)上显著相关; \*\*表示在 0.05 水平(双侧)上相关



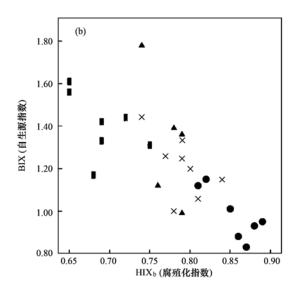


图 4 川西高原河流 CDOM 的 FI-HIX、BIX-HIX 相互关系

Fig. 4 Relationship between FI and HIX, BIX and HIX in plateau rivers in western Sichuan

这可能表明它们关系密切. 4条河流中 $\beta$ : $\alpha$ 与 BIX 存在着极显著相关;白河中 $\beta$ : $\alpha$ 与 FI、BIX 正相关,与HIX。负相关;FI与 BIX 正相关;HIX。与FI、BIX 负相关. 4条河流的 DOC 浓度与 CDOM 相对浓度[a(355)]相关性均未达显著性水平,这表明这 4条高原河流或这些河流的某些区段水体中可能还存在大量具有非生色团的溶解性有机物,通过 CDOM可能无法较为全面反映水体 DOM 的特征,特别是在水陆碳交换通量以及水体中 CDOM 动态特征研究方面需要将二者结合起来.对于高原河流,微生物为主的内源过程活性远低于低海拔水体,因此溶解性氮(TDN)主要涉及到类蛋白组分  $C_3$ 等相关性并不显著,这也显示高原河流水体中 TDN 组分可能并不是 CDOM 微生物转化和矿化过程的制约因素.

#### 3 结论

- (1)4 条高原河流水体中 CDOM 组分均呈 2 类 3 个组分,即  $C_1$  (260/480,UVC 类腐殖质)、 $C_2$  [310/420(570),UVA 类腐殖质]和  $C_3$  (280/370,类色氨酸类). 其中, $C_1$ 、 $C_2$  属于类腐殖质类, $C_3$  属于类蛋白质类物质.
- (2) 白河类腐殖质( $C_1$ 、 $C_2$ ) 含量较类蛋白类组分( $C_3$ )高,且总荧光强度高于高山峡谷区河流(杂谷脑河、抚边河和岷江);高山峡谷区河流的类蛋白类物质组分( $C_3$ )贡献率均高于类腐殖质物质( $C_1$ 或  $C_2$ );白河沿程总 CDOM 变化主要是  $C_1$ 和  $C_2$ 组分变化所致.

- (3)白河 CDOM 由陆源输入为主,类腐殖质含量较高,腐殖化特征系数高,CDOM 降解程度较低,且强度高于高山峡谷区河流,而高山峡谷区河流 CDOM 则体现出外源兼内源特征,腐殖化程度较低,CDOM 降解程度高,自生源特征相对更强,新生自生源贡献更大.
- (4)4条河流中类腐殖质  $C_1$  和  $C_2$  呈显著相关, 白河中类腐殖质  $(C_1, C_2)$  与类蛋白组分  $(C_3)$  相关 性显著;  $\beta:\alpha$  与 BIX 极显著相关,白河中参数  $\beta:\alpha$ 与 FI、BIX 正相关、与 HIX<sub>b</sub> 负相关; 高原河流中 DOC 浓度与 CDOM 相对浓度 [a(355)] 相关未达到 显著水平,表明 CDOM 荧光特征不足以全面反映高 原水体 DOM 特征.

致谢:感谢沈丹杰、胡伟协助完成采样工作, 感谢鲜青松、刘慧云为水样分析提供技术支持.

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39 卷

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# **HUANJING KEXUE**

Environmental Science (monthly)

Vol. 39 No. 2 Feb. 15, 2018

## **CONTENTS**

Preface ····	
VOCs Emission from Motor Vehicles in China and Its Impact on the Atmospheric Environment	CHEN Tian-zeng, GE Yan-li, LIU Yong-chun, et al. (478)
Ouantification of the Influence of Industrial Emissions on Volatile Organic Compounds (VOCs) Using PMF Model A Case Stu	dy of Jiangbei Industrial Zone in Nanjing ·····
	HU Kun, WANG Ming, ZHENG Jun, et al. (493)
Source Analysis of Volatile Organic Compounds in the Nanjing Industrial Area and Evaluation of Their Contribution to Ozone	ZHANG Yu-xin, AN Jun-lin, WANG Jun-xiu, et al. (502)
Ozone Formation Potential and Priority Species of VOCs in an Industrial Park	WU Lei-dan, WANG Xiu-yan, YANG Wen, et al. (511)
Characteristics and Source Apportionment of VOCs of a Petrochemical Industrial Park During Autumn in China	······ HU Tian-peng, LI Gang, MAO Yao, et al. (517)
Characteristics of VOCs Pollution in the Winter Atmosphere of a Typical Petrochemical Industry Park	······ MAO Yao, LI Gang, HU Tian-peng, et al. (525)
Emission Inventory and Pollution Characteristics of Industrial VOCs in Hangzhou, China	LU Bin, HUANG Cheng, LU Qing, et al. (533)
Industrial VOCs Emission in Qinhuangdao	
Pollution Characteristics and Emission Coefficient of Volatile Organic Compounds from Auto/motorcycle Parts & Accessories Ma	unufacturing in Zhejiang Province ·····
Total of the state	···· YANG Zhong-ping, WANG Zhe-ming, HE Zhi-qiao, et al. (551)
Stench Sources and Impact Analysis in Automobile Making	
Pollution Characteristics and Health Risk Assessment of VOCs Fugitively Emitted from Typical Brewers	······ GAO Zhan-qi, HU Guan-jiu, WANG Hui, et al. (567)
Pollution Characteristics and Health Risk Assessment of Atmospheric VOCs in Chengdu	LI You-ping, TANG Ya, FAN Zhong-yu, et al. (576)
Indoor Formaldehyde and Benzene Series in Shanghai Residences and Their Associations with Building Characteristics and Lifes	tyle Behaviors
The second of th	····· JIANG Qiao-yun, LIU Ping-ping, WANG Xue-ying, et al. (585)
Emission Inventory of Anthropogenically Sourced VOCs and Its Contribution to Ozone Formation in Jiangsu Province	······ XIA Si-jia, LIU Qian, ZHAO Qiu-yue (592)
Emission Inventory of Anthropogenic VOCs in Jiangmen City	
Emissions, Chemical Composition, and Spatial and Temporal Allocation of the BVOCs in the Yangtze River Delta Region in 20	14 ····· LIU Yan, LI Li, AN Jing-yu, et al. (608)
VOCs Emission Inventory of Service Stations in a Subcenter (Tongzhou District) of the City of Beijing	HUANG Yu-hu, HU Wei, LI Bei-bei, et al. (618)
Pollutant Emissions from Diesel Buses Fueled with Waste Cooking Oil Based Biodiesel	HU Zhi-yuan, LIN Biao-qi, HUANG Cheng, et al. (626)
Treatment of the Waste Gas Containing Methyl tert-Butyl Ether via a Biotrickling Filter	
Composite CVOCs Removal in a Combined System of Nonthermal Plasma and a Biotrickling Filter	
Pollution Characteristics and Health Risk Assessment of Volatile Organic Compounds (VOCs) and Semi-volatile Organic Compounds	ounds (SVOCs) in Qiantang River's Hangzhou Section During a
Water Odor Pollution Event	
Occurrence and Spatial Distribution of Volatile Organic Compounds in Urban Drinking Water Distribution Systems	XU Mei-jia, WANG Hai-liang, LI Chun-mei, et al. (655)
Health Risk Assessment of Volatile Organic Compounds for Different Commuting Modes	
Source Analysis and Environmental Health Risk Assessment of VOCs in Furniture Manufacturing	TONG Rui-peng, ZHANG Lei, YANG Xiao-yi, et al. (6/2)
Spatio-temporal Distribution Characteristics of PM <sub>2.5</sub> and Spatio-temporal Variation Characteristics of the Relationship Between	PM <sub>2.5</sub> and PM <sub>10</sub> in Beijing
o ece and piller pile of the little data. In land i	YANG Wen-tao, YAO Shi-qi, DENG Min, et al. (684)
Quantification of Methane Ebullition Flux from Small Ponds Using the Inverted-Funnel Method	
Contamination Levels and Exposure Risk via Drinking Water from Perfluoroalkyl Acids in Seven Major Drainage Basins of China	WANG V. ZHANG H. WANG V 1 ( 702 )
	···· waint ain-xuan. Zhaint hong. waint ian-ding. et al. (705)
Continued Distillation of Continued of Niconsis District of Tillian	VANC I: I: IEI V OIAO E.: I (711)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on FFM-PAB	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/  Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)  AFAC Analysis
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/  Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China  Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake  Spatial Distribution Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longiang River Southern China	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)  AFAC Analysis
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/  Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China  Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake  Spatial Distribution Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longiang River Southern China	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)  AFAC Analysis
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China  Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Watlands at L.	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720) XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729) WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738) LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China  Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Watlands at L.	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720) XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729) WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738) LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/  Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China  Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake  Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China  Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)  AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  ow Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling  Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/  Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China  Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake  Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China  Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L  Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711)  AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  ow Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  ow Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L  Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis Relationship Between Macrophyte Communities and Macroinvertebrate Communities in an Urban Stream Characteristics of Nitrogen and Phosphorus Output in Runoff and Rainfall Runoff in Lanlingxi Watershed. Three Gorges Reserve	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)  QU Xiao-dong, YU Yang, ZHANG Min, et al. (783)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L  Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis Relationship Between Macrophyte Communities and Macroinvertebrate Communities in an Urban Stream Characteristics of Nitrogen and Phosoborus Output in Runoff and Rainfall Runoff in Lanlingxi Watershed. Three Gorges Reserve	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)  QU Xiao-dong, YU Yang, ZHANG Min, et al. (783)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis Relationship Between Macrophyte Communities and Macroinvertebrate Communities in an Urban Stream Characteristics of Nitrogen and Phosphorus Output in Runoff and Rainfall Runoff in Lanlingxi Watershed, Three Gorges Reservo	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  W Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)  QU Xiao-dong, YU Yang, ZHANG Min, et al. (783)  Sir Area  ZHANG Lin, HUANG Zhi-lin, XIAO Wen-fa, et al. (792)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L  Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis Relationship Between Macrophyte Communities and Macroinvertebrate Communities in an Urban Stream Characteristics of Nitrogen and Phosphorus Output in Runoff and Rainfall Runoff in Lanlingxi Watershed, Three Gorges Reservo	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  Temperature in Winter  ZHENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)  QU Xiao-dong, YU Yang, ZHANG Min, et al. (783)  ZHANG Lin, HUANG Zhi-lin, XIAO Wen-fa, et al. (792)  ZHAO Peng, HE Jiang-tao, WANG Man-li, et al. (800)
Spatio-temporal Distribution and Source Apportionment of Nitrogen in Rivers of Tieling Characteristics of Chromophoric Dissolved Organic Matter (CDOM) in Rivers of Western Sichuan Plateau Based on EEM-PAR/ Assessment of Heavy Metal Pollution and Human Health Risk of Surface Waters in the City of Ningbo, China Temporal-spatial Distribution and Pollution Assessment of Dissolved Heavy Metals in Chaohu Lake Spatial Distribution, Sources and Bioavailability of Heavy Metals in the Surface Sediments of Longjiang River, Southern China Mechanism on Enhanced Nitrogen Removal in Municipal Secondary Effluent via Internal-Electrolysis Constructed Wetlands at L  Dynamic Replenishment Process of Nutrients in Tributary of Channel Reservoir  Effect of the Rainfall on Extinction of Cyanobacteria Bloom and Its Mechanism Analysis Relationship Between Macrophyte Communities and Macroinvertebrate Communities in an Urban Stream Characteristics of Nitrogen and Phosphorus Output in Runoff and Rainfall Runoff in Lanlingxi Watershed, Three Gorges Reserved Screening Method of Priority Control Pollutants in Groundwater Based on Contamination Assessment  Effect of Sulfur to Quartz Sand Ratios on the Removal of High-Concentration Perchlorate in Packed-Bed Reactors	YANG Li-biao, LEI Kun, QIAO Fei, et al. (711) AFAC Analysis  LIU Yan-yang, QIN Ji-hong, LIU Chen, et al. (720)  XU Mei-juan, BAO Bo, CHEN Chun-yan, et al. (729)  WU Lei, LIU Gui-jian, ZHOU Chun-cai, et al. (738)  LAN Xiao-long, NING Zeng-ping, XIAO Qing-xiang, et al. (748)  THENG Xiao-ying, ZHU Xing, WANG Ju, et al. (758)  XU Ya-qian, XU Piao, YANG Zheng-jian, et al. (765)  LIU Xin-yuan, SONG Lin-xu, JI Dao-bin, et al. (774)  QU Xiao-dong, YU Yang, ZHANG Min, et al. (783)  THAO Peng, HE Jiang-tao, WANG Man-li, et al. (800)  TAO Hua-qiang, SHAO Dong-hai, ZHANG Chao, et al. (811)
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